

Service	Particulars	Charges (on Gross Value)	Minimum	Maximum
Trade-related services	Brokerage Commission (4)	Local trade : 0.25%	HKD125/CNY125	-
		I-Trade: 0.15%	HKD75/CNY75	-
	Transaction Levy (5)	0.0027%	-	-
	AFRC Transaction Levy (11)	0.00015% (rounded to the nearest cent)	-	-
	Trading Fee (5) (7)	0.00565%	-	-
	Settlement Fee (4) (6)	0.01%	HKD5/CNY5	-
	Stamp Duty (5) (10)	0.1%	(round up to the nearest HKD1)	
Shanghai/Shenzhen - Hong Kong Stock Connect - Northbound Trade Related Service Fees and Stamp Duty	Brokerage Commission	0.25%	CNY125	-
	Handling Fee (8)	0.00341%	-	-
	Securities Management Fee	0.002%	-	-
	Transfer Fee (6)	0.003%	-	-
	Stamp Duty (9)	0.05% (Sell Trade Only)	-	-
	Portfolio Fee	0.008% per annum of the Portfolio Value (Fee calculated on aggregate daily stock portfolio value in Accounts and collected on a monthly basis)		
Script handling and settlement-related services	Physical Stock Deposit			
	Transfer Deed Stamp Duty	HKD5	-	-
	Late Charge (afterT+1)	HKD150 / stock	-	-
	Physical Stock Withdrawal			
	CCASS (1) Charge	HKD3.50 / board lot	-	-
	Handling Charge	HKD50 / stock	HKD150	-
	Handling Charge for Share Certificate Rejected by Registrar	HKD150 / stock	-	-
	For CCASS (1) SI/ISI (2) transfer			
	Input Fee (4)	HKD1/CNY1	-	-
	Settlement Fee (4)	0.005%	HKD2/CNY2	HKD100/CNY100
	Handling Charge (4)	SI: HKD100/CNY100	-	-
		ISI: HKD50/CNY50	-	-
	Compulsory Share Buy-back			
	CCASS (1) Default Fee	0.5% on gross value of transaction	-	-
	Handling Charge	0.5% on gross value of transaction	-	-
Information Services	HK Market Real-time Quotes (Non-China clients) PowerTicker (MegaHub)	HK\$350/month	-	-
	HK Market Real-time Quotes (China clients) PowerTicker (MegaHub)	HK\$240/month	-	-
	HK Market Real-time Snapshot Quotes (MegaHub)	HK\$0.11 per click	-	-
	US Market Real-time Quotes for non-professional subscriber	USD5/month	-	-
	US Market Real-time Quotes for professional subscriber	USD50/month	-	-
Account Maintenance	Stock Custody Fee	HKD0.012 per board lot or part thereof, calculated on aggregate month-end stock quantity balances	HKD5.00 / month	-
	Reproducing of Monthly Statement	HKD10 / copy (within One Year)	-	-
	Confirmation of Securities or Account Balance	HKD150	-	-
	CCASS (1) Segregate Account Monthly Charge	HKD10 / month	(Handling for account opening HKD100.00 per request)	
Nominee Services and Corporate Actions	Corporate Action			
	CCASS (1) Corporate Action (3) Charge	HKD0.80 / board lot or part thereof	-	-
	Handling Charge	HKD50 / order	-	-
	Cash Dividen			
	Scrip Fee	HKD1.50 / board lot or part thereof on net increase in balances in the stock since the date on which fee was last collected		
	CCASS (1) Dividend Levy	0.12% on dividend amount	-	-
	Handling Charge	0.50% on dividend amount	HKD10	-
	Stock Dividend			
	Scrip Fee	HKD1.50 / board lot or part thereof on net increase in balances in the stock since the date on which fee was last collected		
	Handling Charge	HKD10 / allocation	-	-
	Retrace Divident from CCASS (1)			
	CCASS (1) Charge	HKD200 / claim	-	-
	Handling Charge	Claim amount 1% (min HKD500 / order)	-	-
Financing and Other Services	Cash Account Overdue Interest	Prevailing prime rate + 8%		
	Margin Account Financing Rate	Prevailing prime rate + 4%		
	Handling for IPO Subscription (4)	HKD100 / CNY100		
	Financing Rate for IPO Subscription	Subject to market rate		
	Penalty for Returned Cheque	HKD50		
	Local Remittance (CHATS)	HKD100 / order		
	Overseas Remittance (T.T.)	HKD50 + Bank charges		

(1) CCASS - Central Clearing and Settlement System

(2) SI - Settlement Instruction, ISI - Investor Settlement Instruction

(3) Rights, Offer, Warrant and Takeover subscriptions, etc.

(4) Charges quoted are denominated in the same currency as the relevant securities.

(5) The stamp duty, SFC levy and SEHK trading fee for RMB denominated securities will be calculated in HKD and then collected in CNY equivalent.

(6) With effective from 30 June 2025, the settlement Fee for trade-related services will be increased from 0.005% to 0.01% on gross value of transaction subject to a minimum fee of HK\$5.00 per transaction.

All other disbursements and out-of-pocket expenses incurred by the Oriental Patron will be charged to the accounts of the respective clients.

Updated on 30 June 2025